



MoneyMasters Limited

Licensed Securities Dealer

Your Money Management Experts

Individual Client Form

PROFILE

NAME: _____ TRN# _____
First / Middle / Last

HOME ADDRESS: _____

TEL: _____ EMAIL: _____

SINCE _____ RESIDENCE STATUS: _____ PROOF OF RESIDENCE: _____
(MM/YYYY)

MAILING: _____
(IF DIFFERENT FROM ABOVE)

PRIMARY CONTACT PERSON(S) IN AN EMERGENCY/DEATH

NAME: _____ NAME: _____

ADDRESS: _____ ADDRESS: _____

TEL: _____ TEL: _____

INSTRUCTIONS ON CORRESPONDENCE: _____

AUTHORITY TO SIGN (Joint account): _____

PRIMARY PERSON ON ACCOUNT: _____

IF JOINT: _____

NAME OF BANKER(S): _____

Source of Funds: _____ If withdrawn from Bank, Bank Name _____

SPECIAL INSTRUCTIONS _____

PRELIMINARY FINANCIAL PLANNING DATA

NAME: _____

DATE OF BIRTH: _____ AGE: _____ NUMBER OF DEPENDENTS: _____

BASIC FINANCIAL GOALS: ALREADY ACHIEVED Car Residential Real estate 1st Rental property
 Stocks Bonds Other Short term cashflow

AVERAGE COST OF MORTGAGE FINANCING, IF ANY _____ REMAINING TENOR ON MORTGAGE: _____

AVERAGE COST OF

CONSUMER LOANS: _____

ANY SPECIFIC INSTRUCTIONS: _____

ANY FINANCIAL CONSTRAINTS: _____

MML Individual Client Form

IDENTIFYING INVESTOR PROFILE

NAME: _____

RISK TOLERANCE (Personality) High Low Moderate

INVESTMENT TIME HORIZON Short-term Medium-term Long-term Combination (specify)

PRIMARY FINANCIAL GOAL

INVESTMENT OBJECTIVE Capital appreciation Income Capital appreciation & income

INVESTOR PROFILE Conservative Moderately conservative Moderate

Moderately aggressive Aggressive

REVIEW CYCLE Quarterly Semi-annually Annually

REFERENCE INFORMATION (at least two)

Name: _____ Contact No.: (H) _____

Address _____ (C) _____

Name: _____ Contact No.: (H) _____

Address _____ (C) _____

Name: _____ Contact No.: (H) _____

Address _____ (C) _____

ID TYPE: Passport _____

 Drivers License _____

 National ID _____

 Other _____

CLIENT SIGNATURES

NAME: _____ SIGNATURE _____ Date _____

NAME: _____ SIGNATURE _____ Date _____

NAME: _____ SIGNATURE _____ Date _____

NAME: _____ SIGNATURE _____ Date _____